

Please type a plus sign (+) inside this box [+]



PTO/SB/05 (11-00)

Approved for use through 10/31/20
Patent and Trademark Office: U.S. DEPARTME
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays

002. OMB 0651-0032 ENT OF COMMERCE a valid OMB control number.	82 1
	1c639 U.S. 09/7554

UTILITY PATENT APPLICATION TRANSMITTAL						
(Only for new nonprovisional applications under 37 CFR 1.53(b))						
Attorney Docket No. 3399P039 (maximum 12 characters)						
First Named Inventor Curtis G. Yarvin						
Title: Method and Apparatus for Dynamically Updating a Markup Language Based User Interface						
Express Mail Label No. EL627470826US						
ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, D. C. 20231						
APPLICATION ELEMENTS						
See MPEP chapter 600 concerning utility patent application contents.						
Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)						
2 Applicant Claims Small Entity Status. (37 CFR 1.27)						
3. X Specification (Total Pages 45) (preferred arrangement set forth below) - Descriptive Title of the Invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure						
4. <u>X</u> Drawings(s) (35 USC 113) (Total Sheets <u>8</u>)						
5. X Oath or Declaration (Total Pages 4)						
a Newly Executed (Original or Copy)						
b Copy from a Prior Application (37 CFR 1.63(d)) (for Continuation/Divisional with Box 17 completed)						
i <u>DELETIONS OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).						
c. X Unsigned.						
6 Application Data Sheet. (37 CFR 1.76)						
7 CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)						
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Form (CRF)						
b Specification Sequence Listing on: iCD-ROM or CD-R (2 copies); or						

ii. _____paper
Statement verifying identity of above copies

	ACCOMPANYING APPLICATION PARTS					
b	b. Power of Attorney					
11 E	inglish Translation Document (if applicable)					
12 a	. Information Disclosure Statement (IDS)/PTO-1449					
b	b. Copies of IDS Citations					
13 P	Preliminary Amendment					
14. <u>X</u> F	teturn Receipt Postcard (MPEP 503) (Should be specifically itemized)					
15 C	Certified Copy of Priority Document(s) (if foreign priority is claimed)					
	lequest and Certification under 35 U.S.C. 122(b)(2)(B)(i). <u>Applicant must attach</u> orm PTO/SB/35 or its equivalent.					
17. <u>X</u> C	Other: Express Mail Certificate					
1	NUING APPLICATION, check appropriate box and supply the requisite information:					
Of Prior Applica	nuation Divisional Continuation-in-part (CIP) tion No.: Examiner Group Art Unit					
(which is a con which is a con	(which is a continuation/ divisional/ CIP of prior application no, which is a continuation/ divisional/ CIP of prior application no) (List entire chain of priority)					
For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18B. Statement under 37 CFR 3.73(b) for continuing application: The undersigned states that						
	ondence Address					
Customer Number or Bar Code Label (Insert Customer No. or Attach Bar Code Label here) X Correspondence Address Below						
NAME _ Jordan M. Becker						
BLAK	ELY, SOKOLOFF, TAYLOR & ZAFMAN LLP					
ADDRESS 12	400 Wilshire Boulevard					
_ <u>S</u>	eventh Floor					
CITY Los Angeles STATE California ZIP CODE 90025-1026						
Country U.S.A	A. TELEPHONE (408) 720-8300 FAX (408) 720-9397					
Name (PRINT/TYP	Pel: Jordan M. Becker Registration No.: 39,602 Date:					



PTO/SB/17(11-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

	Und	er the Paperw	ork Reduction	Act of 1995, no persons are required to respond to a coll-	lection of inform	nation unless it display	s a valid OMB control number.
				FEE TRANSMITTAL FOR F	Y 2001		<u> </u>
	olete if Kr cation No			TOTAL AMOUNT OF PAYMENT (\$)	_1,	836.00	
	Date	· —					
	Named In	ventor	Curtis G	. Yarvin	•		
	Art Unit				•		
	iner Name		200000				
Attorn	ey Docke	t No. <u>33</u>	<u>199P039</u>				
	HOD OF		•	·			
1.	 [X] The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: 						dit
	Deposit Account Number <u>02-2666</u> Deposit Account Name						
	[X] Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						
	[]	Applic	ant clair	ns small entity status. See 37 CFR 1	I.27 		7200270747
2.	X	Payme	nt Encl				
				Credit Card Money Order			
				Other			
	CALCUL						
1.	BASIC	FILING F	EE				
	Entity	<u>Small</u>					
Fee	Fee	Fee Code	Fee	Eac Description			Fee Paid
Code 101	(\$) 710	201	(\$) 355	Fee Description Utility application filing fee			710.00
106	320	206	160	Design application filing fee			710100
107	490	207	245	Plant filing fee			
108	710	208	355	Reissue filing fee			
114	150	214	75	Provisional application filing fee			
					SUB	TOTAL (1) \$_	710.00
2.	EXTRA	CLAIM I	FEES	Extra Claims		Fee from below	Fee Paid
Total	Claims	47		- 20** = _27	X	18.00 =	486.00
	pendent		11	-3** = 8	X		640.00
	ple Depe	-	- ' '	<u> </u>	~	=	
			slv paid	, if greater; For Reissues, see be	low.		
	Entity	Small		, 3 ,			
Fee	Fee	Fee	Fee				
Code		Code	(\$)	Fee Description			
103	18	203	9	Claims in excess of 20			
102	80	202	40	Independent claims in excess of 3			
104	270	204	135	Multiple dependent claim, if not pai			
109	80	209	40 9	**Reissue independent claims over **Reissue claims in excess of 20 ar	original	patent riginal patent	
110	18	210	3	ncissue cidinis ili excess di 20 di		BTOTAL (2)	\$ <u>1,126.00</u>



FEE CALCULATION (continued)

3. ADDITIONAL FEES

3. ADDITIONAL FEES					
Large	Entity	Small E	ntity		
Fee	Fee	Fee	Fee		
Code	(\$)	Code	(\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
120 119	310	226 219	155	Notice of Appeal	
120	310	219	155	Filing a brief in support of an appeal	
120	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	1,510	240	1,510 55	Petition to revive – unavoidable	
		241	620	Petition to revive - unintentional	
141	1,240		620		
142	1,240	242		Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other	fee (specit	y) _			
Other	fee (specif	y) _			
				SUBTOTAL (3)	\$ 0.00
*Reduced by Basic Filing Fee Paid					
SUBMITTED BY:					
Typed or Printed Name: Jordan M. Becker					
Signa		ful	101,	Date:	
Reg. Number: <u>408-720-8300</u> Telephone Number: <u>408-720-8300</u>					

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

1c639 U.S. PTO

PTO/SB/35(11-00) Approved for use through 10/31/2002. OMB 0651-0031

Registration No.

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

HEGOEST AND SETTING				
First Named Inventor <u>Curtis G. Yarvin</u> Title <u>Method and Apparatus for Dynamically Updating a Markup Language Based User Interface</u>				
Attorney Docket No. 3399P039				
of an application filed in another country, or ur	the attached application has not and will not be the subject order a multilateral agreement, that requires publication at that the attached application not be published under 35			
1/5/01 Date	Signature			
	Jordan M. Becker			
	Typed or Printed Name			

PEOLIEST AND CERTIFICATION LINDER 35 U.S.C. 122(b)(2)(B)(i)

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

39,602

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months after the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

- 3 -

Send to: Assistant Commissioner for Patents, Washington, D.C. 20231

11/28/00



EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL62747082645				
Date of Deposit: January 5, 2001				
I hereby certify that I am causing this paper or fee to				
be deposited with the United States Postal Service				
"Express Mail Post Office to Addressee" service on				
the date indicated above and that this paper or fee				
has been addressed to the Assistant Commissioner				
for Patents, Washington, D. C. 20231				
Julie Arango				
(Typed or printed name of person mailing paper or fee)				
the Cuango				
(Signature of person mailing paper or fee)				
1-5-01				
(Date signed)				

EL627470826US

Serial/Patent No.:	Filing/Issue Date:
Client: Openwave Systems. Inc.	
Title: Method and Apparatus for	Dynamically Undating a Markup Language
- IMPA IMPELIACE	
BSTZ File No.: 3399P039	Atty/Secty Initials:
Date Mailed: 1-5-01	Docket Due Date:
The following has been received in the U.S. Pa	atent & Trademark Office on the date stamped hereon:
American response (pgs.)	Express Mail No.: EL627470826US Check No. 40127
Appeal Brief (pgs.) (in triplicate)	
Application - Utility (45 pgs., with cover and abstract)	Month(s) Extension of Time March \$1,836.00
Application - Rule 1.53(b) Continuation (pgs.)	Issue Fee Transmittal
Application - Rule 1.53(b) Divisional (pgs.)	Notice of Appeal
Application - Rule 1.53(b) CIP (pgs.)	Petition for Extension of Time
Application - Rule 1.53(d) CPA Transmittal (pgs.)	Petition for
Application - Design (pgs.)	Postcard
Application - PCT (pgs.)	Power of Attorney (pgs.)
Application - Provisional (pgs.)	Preliminary Amendment (pgs.)
Assignment and Cover Sheet	Reply Brief (pgs.)
Certificate of Mailing	Response to Notice of Missing Parts
Declaration & POA (pgs.)	Small Entity Declaration for Indep. Inventor/Small Business
Disclosure Dacs & Oig & Capy of Inventors Signed Letter (pgs)	Transmittal Letter, in duplicate
Drawings: 8 # of sheets includes 8 figures	Fee Transmittal, in duplicate
Other: Declaration (4 pgs.)	